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<b>(21) International Application Number:</b> PCT/US98/09729 <b>(22) International Filing Date:</b> 13 May 1998 (13.05.98)  <b>(30) Priority Data:</b> 60/046,343 13 May 1997 (13.05.97) US 60/080,695 3 April 1998 (03.04.98) US 09/076,374 12 May 1998 (12.05.98) US  <b>(71) Applicant:</b> CASE WESTERN RESERVE UNIVERSITY [US/US]; 10900 Euclid Avenue, Cleveland, OH 44106 (US).  <b>(72) Inventors:</b> BRUNENGRABER, Henri; 16700 South Woodland, Shaker Heights, OH 44120 (US). BOMONT, Catherine; 193 Spruce Mill Lane, Scotch Plains, NJ 07076 (US). DAVID, France; 19826 Van Aken Boulevard, Shaker Heights, OH 44122 (US). HALLOWELL, Peter, T.; 1097 Pennfield, Cleveland Heights, OH 44121 (US). COOPER, Kevin, D.; 55 Quail Hollow Drive, Moreland Hills, OH 44022 (US). KASOUMOV, Takhar; 1898 East 123rd Street #3, Cleveland, OH 44106 (US).  <b>(74) Agent:</b> MINNICH, Richard, J.; Fay, Sharpe, Beall, Fagan, Minnich & McKee, 7th floor, 1100 Superior Avenue, Cleveland, OH 44114-2518 (US).		<b>(81) Designated States:</b> AL, AU, BA, BB, BG, BR, CA, CN, CU, CZ, EE, GE, GH, GM, GW, HU, ID, IL, IS, JP, KP, KR, LC, LK, LR, LT, LV, MG, MK, MN, MX, NO, NZ, PL, RO, SG, SI, SK, SL, TR, TT, UA, UZ, VN, YU, ARIPO patent (GH, GM, KE, LS, MW, SD, SZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, ML, MR, NE, SN, TD, TG).  <b>Published</b> <i>With international search report.</i>
<b>(54) Title:</b> MEDICAL USES OF PYRUVATES		
<b>(57) Abstract</b>  A pyruvate compound suitable for cosmetically or dermatologically administering to the skin and for use in treating diabetic ketosis or other medical treatments. The compound includes a pyruvate selected from the group of pyruvate thioester, dihydroxyacetone-pyruvate, and an ester of pyruvate and a sugar or a polyol.		

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## MEDICAL USES OF PYRUVATES

### Background of the Invention

This invention relates to several new pyruvate compounds and methods of (i) treating ischemia in mammalian hearts, lungs, veins, arteries and other organs or tissues, (ii) accelerating ethanol oxidation/preventing acute toxic effects of ethanol on the liver, (iii) using the novel pyruvates in other recognized uses of pyruvates including, but not limited to, treating of secondary effects of diabetes, lowering of cholesterol and lipid levels, as a nutrition source which can provide as much as 100% of caloric requirements and to treat injured organs requiring a readily accessible energy source, (iv) topical applications of pyruvates in the treatment of dermatological wounds or diseases and prevention thereof and to generally improve skin health, and (v) treatment of diabetic ketosis.

### Description of the Art

Ischemia is defined herein as the interruption of oxygen supply, via the blood, to an organ or to part of an organ. Examples of ischemic events include (i) myocardial, cerebral, or intestinal infarction following obstruction of a branch of a coronary, cerebral, or mesenteric artery, and (ii) removal and storage of an organ prior to transplantation. In the case of myocardial infarction, prompt restoration of blood flow to the ischemic myocardium, i.e. coronary reperfusion, is a key component of the treatment. This is because mortality is directly related to infarct size (tissue necrosed) which is related to the severity and duration of the ischemic event.

Notwithstanding the need to supply an organ cut-off from a normal blood supply with oxygen, it has been found that reperfusion injury may occur upon restoration of blood flow. This results from the production of reactive oxygen species

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(ROS), namely, hydrogen peroxide, hydroxyl radicals and superoxide radicals which are formed from both extracellular and intracellular sources. ROS are highly reactive species that, under normal conditions, are scavenged by endogenous  
5 defense mechanisms. However, under conditions of post-ischemic oxidative stress, ROS interact with a variety of cellular components, causing peroxidation of lipids, denaturation of proteins, and interstitial matrix damage, resulting in increase of membrane permeability and release of  
10 tissue enzymes.

In an attempt to minimize these undesirable side effects of perfusion, researchers Simpson, et al., (*Free Radical Scavengers and Myocardial Ischemia, Federation Proceedings, Volume 46, No. 7 May 15, 1987*) suggest the use of an  
15 inhibitor of ROS production to protect the reperfused myocardium. The Simpson, et al. disclosure is particularly directed to the use of agents and inhibitors (ex. allopurinol) that reduce ROS levels. In a similar context, Brunet, et al., (*Effects of Acetylcysteine, Free Radical*  
20 *Biology and Medicine, Volume XX, No. X 1995*) suggest the use of acetylcysteine to reperfuse hearts. In particular, the article concludes that acetylcysteine treatment decreases the production of ROS in reperfused rat hearts.

In a further effort directed to protecting reperfused  
25 heart tissue, United States Patent 5,075,210, herein incorporated by reference, discloses a process for reperfusing a heart for transplantation. The patent discloses a cardioplegic solution containing sodium chloride, potassium chloride, calcium chloride, sodium bicarbonate,  
30 sodium EDTA, magnesium chloride, sodium pyruvate and a protein.

United States Patent 5,294,641, herein incorporated by reference, is directed to the use of pyruvate to prevent the adverse effects of ischemia. The pyruvate is administered  
35 prior to a surgical procedure to increase a patient's cardiac output and heart stroke volume. The pyruvate is administered as a calcium or sodium salt. The pyruvate can alternatively be an ester of pyruvic acid such as ethylamino pyruvate.

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Similarly, United States Patent 5,508,308, herein incorporated by reference, discloses the use of pyruvyl glycine to treat reperfusion injury following myocardial infarction.

5 United States Patent 4,988,515 and 5,705,210, herein incorporated by reference, use pyruvate salts in cardioplegic solutions and in preservation solutions for the heart before transplantation. United States Patent 4,970,143, herein incorporated by reference, discloses the use of acetoacetate  
10 for preserving living tissue, including addition of the pyruvate to the preservation solution.

United States Patent 5,100,677 herein incorporated by reference, discloses the composition of various parenteral solutions. Of interest is a recommendation to include  
15 pyruvate anions (apparently from metal salts) in intravenous solutions. In United States Patent 5,183,674, herein incorporated by reference, pyruvate compounds are used as foodstuff. United States Patent 5,134,162 herein incorporated by reference, focuses on the use of pyruvate to  
20 lower cholesterol and lipid levels in animals. United States Patent 5,047,427, deals with the use of pyruvate for improving the condition of diabetics, while United States Patent 5,256,697 suggests the use of pyruvyl-aminoacid compounds, each of which is herein incorporated by reference.

25 In addition, United States Patent 5,283,260, herein incorporated by reference, is directed to treatment of diabetes with a physiologically acceptable form of pyruvate. The patent discloses a pyruvate compound in the form of a covalently linked pyruvyl-amino acid. By utilizing this type  
30 of a pyruvate delivery system, the negative effect of pyruvate salt is avoided. However, administration of large amounts of pyruvate-amino acid may result in nitrogen overload which could harm patients with liver and/or kidney pathology.

35 Notwithstanding the acceptance of pyruvate as an effective component of a reperfusion solution or other varied applications, pyruvic acid is a strong and unstable acid which cannot be infused as such. Furthermore, it has been

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recognized that traditional pharmacological pyruvate compounds, such as salts of pyruvic acid, are not particularly physiologically suitable. For example, these compounds lead to the accumulation of large concentrations of ions (ex. calcium or sodium) in the patient's body fluids. Similarly, amino acid compounds containing pyruvate can lead to excessive nitrogen loads. It has also been proposed to infuse pyruvylglycine, the amide function of which is presumably hydrolyzed in plasma and/or tissues, thus liberating pyruvate. However, at the high rates of pyruvylglycine infusion required to achieve 1 mM pyruvate in plasma, the glycine load may be harmful to patients suffering from hepatic or renal pathologies. Also, flooding plasma with glycine may interfere with the transport of some amino acids across the blood-brain barrier. Accordingly, while potentially suitable to organ preservation, these pyruvate compounds are less suited to treating an organ in vivo, and it is recognized that a need exists to provide a pyruvate delivery compound which is more physiologically acceptable.

In addition, pyruvates have been demonstrated to have several dermatological uses. For example, U.S. Patents 5,580,902; 5,602,183; and 5,614,561, herein incorporated by reference, disclose the use of pyruvate salts and ethyl ester to enhance therapeutic effects of cosmetics and pharmaceuticals. It is believed that the present pyruvate compounds are suited for at least all of the treatments identified in these patents and can be formulated into ointments, creams, etc., much in the same ways identified therein, and in other systems apparent to one skilled in the art.

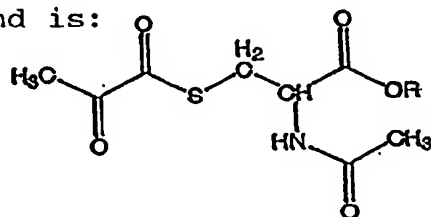
Therefore, it is desirable in this field to have an alternate physiologically compatible therapeutic pyruvate compound. In this regard, the novel pyruvate compounds of this invention permit the use of pyruvate to treat ischemic events, ethanol poisoning, acetaminophen poisoning and other conditions recognized to be effectively treated with pyruvate because sufficiently high loads of pyruvate can be administered without a toxic constituent.

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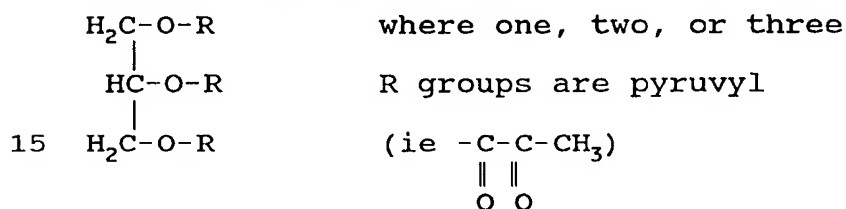
Summary of the Invention

One novel pyruvate compound of this invention comprises a pyruvate thioester. Preferably, the thiol is cysteine or homocysteine. In a particularly preferred form, the compound  
 5 is a N-acetyl ethyl ester of the cysteine or homocysteine amino acid.

One preferred compound is:

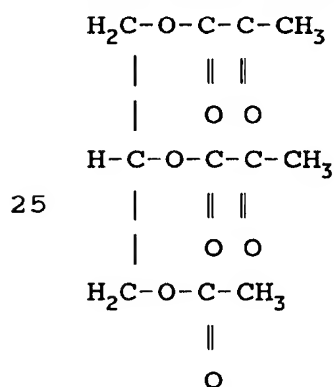


A further novel compound of the present invention is a glycerol-pyruvate ester. A particularly preferred form of a  
 10 glycerol-pyruvate ester will be of the formula:



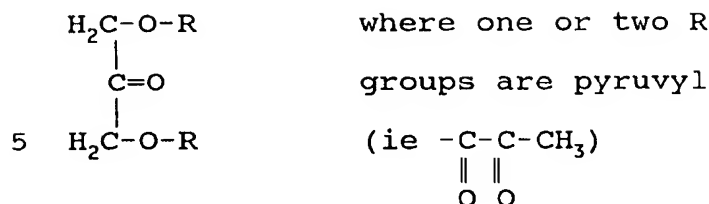
and one or two R may be a short-chain acyl such as acetyl or propionyl.

20 and more preferably



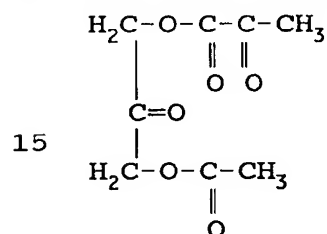
30 Another novel compound of the present invention is a dihydroxyacetone-pyruvate ester. A particularly preferred form of this compound is of the formula:

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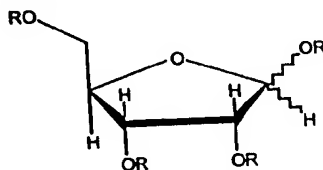


and one R may be a short-chain acyl such as acetyl or propionyl.

and more preferably

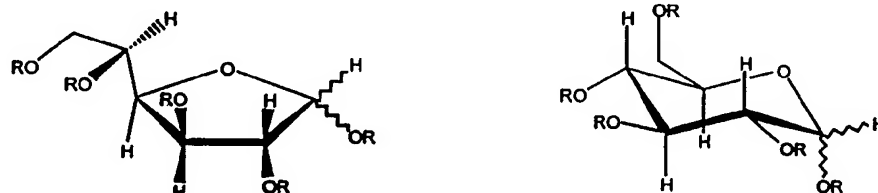


Another novel compound of the present invention is a ribose pyruvate ester. A particularly preferred form of this compound is of the formula:



where R is H, pyruvyl, or a short-chain acyl such as acetyl or propionyl, not all four R's are H, one to four R's may be pyruvyl, and one to three R's may be short-chain acyl.

Another novel compound of the present invention is a glucose pyruvate ester. Particularly preferred forms of this compound (pyranose and furanose) are of the formulae:



where R is H, pyruvyl, or a short-chain acyl such as acetyl or propionyl, not all five R's are H, one to five R's may be pyruvyl, and one to four R's may be short-chain acyl.



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The invention is also directed to use of the novel pyruvate compounds in reperfusion of tissue and organs both in vivo and in storage. Accordingly, the invention includes a method for the preservation of tissue deprived of oxygen through events including, but not limited to, coronary infarction, stroke, mesenteric infarction, organ transplant (during preservation and intravenously after grafting of the organ) including amputated limbs. The compound is also believed well suited to treatment of acetaminophen poisoning of the liver which depletes liver glutathione stores leading to acute hepatic necrosis.

This invention is also directed to the use of the novel pyruvate compounds to assist a patient's body in ethanol oxidation. In fact, the novel pyruvate compounds of this invention are suited to use as nutritional supplements, preventing body fat deposition, lowering high blood cholesterol levels, and treatment for secondary diabetes effects. Furthermore, the novel pyruvates are believed superior for use in treating alcohol intoxication, dermatological requirements and diabetic ketosis.

It is believed that the subject novel compounds provide stable, and physiological compounds with the beneficial result of delivering pyruvate and other NADH and ROS trapping moiety's.

#### 25                    Brief Description of the Drawings

The invention consists of the novel parts, construction and arrangements, combinations and improvements shown and described. The accompanying drawings, which are incorporated in and constitute a part of the specification illustrate one embodiment of the invention and together with the description explain the principals of the invention.

Of the drawings:

FIGURE 1 shows swine infarct size after PNACE and DPAG treatment;

35                    FIGURES 2, 3 and 4 show pyruvate ester blocking of UV inflammation;

FIGURE 5 shows pyruvate ester effect on UV pigmentation;

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FIGURE 6 shows pyruvate ester relationship to inflammation;

FIGURE 7 shows DPAG effect on ketone bodies in ketoacidotic diabetic rats; and

5 FIGURE 8 shows DPAG effect on blood glucose in ketoacidotic diabetic rats.

#### Detailed Description of the Preferred Embodiments

For the purposes of this disclosure, the following abbreviations are used:

10 ADH, alcohol dehydrogenase; ALDH, aldehyde dehydrogenase; DCA, dichloroacetate; DHA, dihydroxyacetone; DHAP, dihydroxyacetone phosphate; DPAG, dipyruvyl-acetyl-glycerol; FAEE, fatty acid ethyl esters; GC, gas chromatography; GCMS, gas chromatography-mass spectrometry; LAD, left anterior  
15 descending coronary artery; MS, mass spectrometry; NAC, N-acetylcysteine; NEFA, non-esterified fatty acids; PADA, pyruvyl-acetyl-dihydroxyacetone; PDAG, pyruvyl-diacetyl-glycerol; PDH, pyruvate dehydrogenase; PNACE, pyruvate N-acetylcysteine ethyl ester; ROS, reactive oxygen species.

#### 20 PNACE

One preferred group of the inventive compounds is a thioester of pyruvate and a sulfur amino acid, for example cysteine or homocysteine. Preferably, any ionizable functions on the amino acid molecule are blocked by easily  
25 removable radicals, such as ethyl and N-acetyl groups. The most preferred compound is formed of pyruvate and N-acetylcysteine ethyl ester.

#### Synthesis of PNACE

As understood in the art, pyruvate has proven to be a  
30 relatively unstable compound with very limited mechanism for satisfactory delivery to subjects. However, the present inventive compound has proven to be readily manufacturable and very effective in the prevention of organ damage associated with reperfusion injury. The compound has been  
35 prepared in pure form and in gram amounts. Its formula has

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been confirmed by elemental analysis and gas chromatography-mass spectrometry. The compound is stable in slightly acidic solutions (pH 4-5). At pH 7.4, it is slowly hydrolyzed to pyruvate and N-acetylcysteine ethyl ester. The compound has  
5 also been synthesized labeled with three deuterium  $^2\text{H}$  atoms on the N-acetyl moiety. This deuterated compound is used as an internal standard for the assay of the compound by isotope dilution gas chromatography-mass spectrometry.

In a three-neck flask of 500 ml, freshly distilled  
10 pyruvic acid (9.06 g., 0.102 mol) and N-hydroxy-succinimide (11.82 g., 0.102 mol) in dry tetrahydrofuran (THF) (180 ml) was stirred under nitrogen and was cooled in a ice bath. Dicyclohexylcarbodiimide (21.2 g., 0.102 mol) dissolved in dry THF (150 ml) was added slowly to the stirred cooled  
15 mixture over approximately 1 hr. Then, the reaction mixture was stirred at room temperature for 2.5 hr, followed by slow addition of N-acetyl-L-cysteine ethyl ester (6.81 g., 0.033 mol) dissolved in 20 ml dry THF over approximately 1 hr. The reaction mixture was stirred overnight at room temperature  
20 under a nitrogen atmosphere.

After evaporating the THF, the residue was suspended in ethyl acetate (750 ml) and was kept for 4-6 hr at 0°C. Dicyclohexyl urea (DCU) was then filtered and discarded; the ethyl acetate solution was washed three times with water  
25 (3x100 ml). It was then dried over anhydrous sodium sulfate and concentrated under vacuum.

The product (17-18 g.) was further purified by using column chromatography. A column of 5 cm. diameter was filled with silica gel (180-200 g., 60 Angstrom flash chromatography  
30 from Aldrich). The product was dissolved first in a minimum quantity of ethyl acetate:hexane (60:40) and was loaded on the column. The column was developed under gravity (rather than flash chromatography) with ethyl acetate:hexane (60:40). Fifty ml fractions were collected and monitored by TLC using  
35 either iodine or UV light. The fractions containing the product were combined and solvents were removed under reduced pressure. The residue was dissolved in chloroform (300 ml), first washed with 5% HCl (2x30ml) and then saturated NaCl

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(3x60 ml). The organic layer was dried over anhydrous sodium sulfate, filtered, and the solvent evaporated. The residue was dissolved in a minimum quantity of chloroform, and petroleum ether was added until the solution became turbid. The suspension was kept overnight in the refrigerator and then filtered to get the pure crystallized product. The compound was dried under vacuum over  $P_2O_5$  to a yield of 6.5 g. (75%, based on the N-acetyl-L-cysteine), m.p. 76-77°C.

#### Alternative Synthesis of PNACE

To a 250 ml three neck flask fitted with a thermometer, a magnetic stirrer, a 50-ml pressure-compensated addition funnel, and a Friedrich's condenser under nitrogen, was added 10g (52.3 mmoles) of N-acetyl-L-cysteine ethyl ester, 8.0 ml of dry pyridine and 60 ml of dry benzene.

Pyruvoyl chloride (0.104 mole, 2 eq) was added dropwise over a period of 0.5 hr. while maintaining a temperature of 5°C to 10°C. Then, the reaction mixture was allowed to warm to 25°C and stirred for 2 hours at this temperature. The benzene solvent was then evaporated under vacuum. The crude product was purified as above to yield 11.15 g of the desired compound (82%).

#### Synthesis of Deuterated PNACE

Pyruvate-N-[ $^2H_3$ ]acetyl-L-cysteine ethyl ester

Synthesized wherein the above procedure was followed using N-[ $^2H_3$ ] acetyl-L-cysteine ethyl ester to form ( $d_3$ -PNACE). The latter was prepared by reacting L-cysteine ethyl ester with [ $^2H_6$ ]acetic anhydride.

Set forth hereinbelow are certain analytical characteristics of the composition of the invention provided to facilitate identification thereof, but not intended to limit the scope.

#### Characteristics of Compounds

I. Pyruvate-N-acetyl-L-cysteine ethyl ester : PNACE (unlabeled)

mp : 65°C

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Rf (ethyl acetate/petroleum ether: 3/2) : 0.52

IR (Nicolet 300, CCl<sub>4</sub>) (cm<sup>-1</sup>) :

3435 (ν N-H)

3000 (ν C-H)

5 1747 (ν CO-O) ester

1731 (ν CO-S) thioester

1687 (ν CO-CO, CO-N) ketoester, amide

1497, 1378.3, 1210.1

10	NMR <sup>1</sup> H, 300MHz (Varian, CDCl <sub>3</sub> , TMS) (ppm):	NMR <sup>13</sup> C, 100.12 MHz (Bruker, CDCl <sub>3</sub> , TMS)(ppm):
	1.33 (t, <sup>3</sup> J=7.13, 3H, OCH <sub>2</sub> CH <sub>3</sub> )	190.6; 188.08 keto, ketoester
	2.10 (s, 3H, COCH <sub>3</sub> )	168.6, 168.08 ester, amide
	2.50 (s, 3H, CH <sub>3</sub> COCO)	60.1 (OCH <sub>2</sub> )
	3.45 (dd, <sup>3</sup> J=4.10 Hz, <sup>3</sup> J=8.95 Hz, 2H, CH <sub>2</sub> -S)	49.5 (CH <sub>2</sub> S)
15	4.23 (dd, <sup>3</sup> J=7.13 Hz, 2H, CH <sub>2</sub> CH <sub>3</sub> )	28.4 (CHNH)
	4.83 (m, 1H, CH)	21.9 (CH <sub>3</sub> COCO)
	6.50 (sl, 1H, NH)	20.8 (CH <sub>3</sub> CO)
		12.1 (CH <sub>3</sub> CH <sub>2</sub> )
	Mass spectrum, electron ionization (m/z):	Mass spectrum, ammonia chemical ionization (m/z):
20	190 (M - CH <sub>3</sub> COCO, 33); 118(26); 102(56); 76(33), 60(90), 43 (CH <sub>3</sub> CO <sup>+</sup> , 100)	279 (M+18, 100); 262(M+1, 93); 209(49); 192(60) 175(18), 158(26)

II. Pyruvate-N-[<sup>2</sup>H<sub>3</sub>]acetyl-L-cysteine ethyl ester : d<sub>3</sub>-PNACE  
(deuterated)

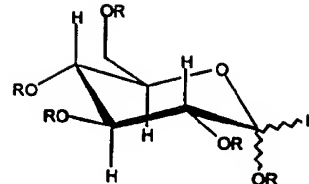
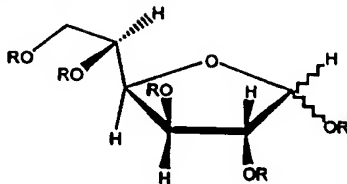
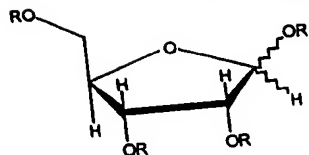
25	NMR <sup>1</sup> H, 300MHz (Varian, CDCl <sub>3</sub> , TMS) (ppm) :	NMR <sup>13</sup> C, 100 MHz (Bruker, CDCl <sub>3</sub> , TMS) (ppm):
	1.34 (t, <sup>3</sup> J=7.13, 3H, OCH <sub>2</sub> CH <sub>3</sub> )	190.5; 187.08 keto, ketoester
	2.50 (s, 3H, CH <sub>3</sub> COCO)	168.5, 168.10 ester, amide
	3.42 (dd, <sup>3</sup> J=4.10 Hz, <sup>3</sup> J=8.95 Hz, 2H, CH <sub>2</sub> -S)	60.1 (OCH <sub>2</sub> )
30	4.25 (dd, <sup>3</sup> J=7.13 Hz, 2H, CH <sub>2</sub> CH <sub>3</sub> )	49.1 (CH <sub>2</sub> S)
	4.90 (m, 1H, CH)	28.1 (CHNH)
	6.50 (sl, 1H, NH)	20.8 (CH <sub>3</sub> COCO)
		19.9 (CD <sub>3</sub> CO)
		12.0 (CH <sub>3</sub> CH <sub>2</sub> )
35	Mass spectrum, electron ionization (m/z):	Mass spectrum, ammonia chemical ionization (m/z):
	193 (M- CH <sub>3</sub> COCO, 17); 121(4); 103(29); 77(12); 63(26); 43 (CH <sub>3</sub> CO, 100)	282(M+18, 42); 265(M+1, 47); 212(23), 195(37); 178(53); 161(100); 106(23); 89(15)

40 Alternative, Suitable Pyruvate Ester Compounds

Also envisioned within the context of this invention are pyruvate ester compounds comprised of physiologically suitable sugars and polyols, including but not limited to ribose, galactose, glucose, fructose, sorbitol, inositol, 45 arabitol, erythritol and other polyols. Within this group, ribose and glucose are particularly preferred.

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Particularly esters of the formulae:



where R is H, pyruvyl or a short-chain acyl such as acetyl or propionyl and  
at least one R is pyruvyl.

##### 5 DPAG and PADA

A further set of the inventive compounds are dipyruvyl-acetyl-glycerol (DPAG) and pyruvyl-acetyl-dihydroxyacetone (PADA). As with PNACE, these compounds are metabolizable substrates which counteract the effects of reperfusion injury. Glycerol is a physiological substrate which is well tolerated in large amounts and although DHA is not known to exist as such in body fluids, it is quickly phosphorylated by liver glycerol kinase to dihydroxyacetone phosphate (DHAP) which is a glycolytic intermediate. Similarly DPAG and PADA can be infused *in vivo* to deliver a therapeutic concentration of pyruvate without lactic acidosis and sodium overload. However, because DPAG and PADA can be administered in very high doses, they are also agents for accelerating ethanol oxidation in the liver, via transfer of reducing equivalents to peripheral tissues in the form of lactate.

Glycerol is a physiological substrate. It is released by adipose tissue lipolysis and is taken up by the liver, which is the major site of glycerol kinase activity (some glycerol kinase is also present in kidney). Glycerol kinase generates glycerol-phosphate which has 3 fates: glucose, glycerides/phospholipids, and lactate. DHA is converted to physiological dihydroxyacetone-phosphate (DHAP) by glycerol kinase. Then, DHAP has the same fates as glycerol. DHA is the oxidized counterpart of glycerol.

Because of the particular benefits of the thiol in PNACE, a dual strategy to prevent and/or treat reperfusion injury is considered advantageous. Moreover, to safely

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deliver large amounts of pyruvate without sodium or nitrogen load, esters of pyruvate with either glycerol or dihydroxyacetone, i.e. DPAG or PADA, or the esters of sugars and other polyols described hereinbelow, can be used. PNACE  
5 is not a means to supply large amounts of pyruvate, since pharmacologically NAC concentrations of below 0.1 mM are often desirable, while effective pyruvate concentrations are 1- 2 mM. Thus, pyruvate-glycerol or pyruvate-DHA ester or the hereinbelow described sugar or polyol ester is infused in  
10 large amounts together with smaller amounts of PNACE.

### Synthesis of DPAG

DPAG was prepared by esterification of 1-acetyl-glycerol (1-monoacetin) with pyruvyl chloride. To a 250 ml three-neck flask fitted with a thermometer, a mechanical stirrer, a 25  
15 ml dropping funnel, and flushed with dry nitrogen, one adds 5.0 g of anhydrous monoacetin (dried for 2 days under vacuum), .0 ml of anhydrous pyridine, and 100 ml of anhydrous benzene. The flask is cooled below 10°C with an ice + salt slurry. Freshly distilled pyruvyl chloride (6.0 ml, 1  
20 equivalent) is added dropwise over 15 min, while maintaining the temperature below 10°C. Then, the reaction mixture (showing a white precipitate of pyridinium chloride) is stirred for 1 hr at room temperature. The reaction mixture is filtered, to remove the pyridinium salt, and concentrated  
25 at 30°C on a rotavapor under high vacuum. The crude yellow product is dissolved in 50 ml of chloroform, washed once with 10 ml of HCl 1N, and stirred with 4g of Amberlyst-15 for 4 hr. The solvent is evaporated on a rotavapor under high vacuum at 30 °C maximum. The yield of DPAG (light yellow oil)  
30 is 9.6 g (94%).

The formula of DPAG was verified by (i) NMR  $^1\text{H}$  and  $^{13}\text{C}$ , (ii) infrared spectra, (iii) enzymatic assay of the components of DPAG after hydrolysis, and (iv) HPLC before and after hydrolysis.  
35 NMR  $^1\text{H}$  (200 MHz Varian), solvent  $\text{CDCl}_3$ , reference TMS ( $\delta$  in ppm):

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5.30 (m, 1H, CH); 4.50-4.00 (m, 4H, CH<sub>2</sub>O); 2.40 (s, 6H, CH<sub>3</sub>COCO); 2.00 (s, 3H, CH<sub>3</sub>CO)

NMR <sup>1</sup>H in agreement with the formula and the theoretical NMR spectra software ACD/LABS DEMO.

5 NMR <sup>13</sup>C (200 MHz Varian), solvent CDCl<sub>3</sub>, reference TMS (δ in ppm):

188.9, 188.7 (2C, carbonyls); 170.2 (1C, acetyl); 157.8, 157.9 (2C, pyruvyl); 68.9 (1C, CH); 61.5, 59.4 (2C, CH<sub>2</sub>O); 24.6 (2C, CH<sub>3</sub>); 18.4 (1C, CH<sub>3</sub>) in agreement with the formula

10 and the theoretical NMR spectra software ACD/LABS DEMO.

IR (cm<sup>-1</sup>, CCl<sub>4</sub>) : 3537 (OH bonds from hydrated C=O), 2984; 1756, 1751, 1740, 1736, 1729 (C=O); 1383, 1231.

The NMR and IR spectra show that two molecules of water are fixed on carbonyl groups to form stable hydrated keto esters.

Incubation of DPAG with pig liver esterase liberates the components of the ester which were determined by enzymatic assays, thus confirming the formula of DPAG.

### Synthesis of PDAG

20 PDAG was prepared in 81% yield by reacting diacetyl-glycerol with pyruvyl chloride, using the above procedure.

The formula of PDAG was verified by (i) NMR <sup>1</sup>H and <sup>13</sup>C, (ii) infrared spectra, (iii) enzymatic assay of the components of DPAG after hydrolysis, and (iv) HPLC before and

25 after hydrolysis.  
NMR <sup>1</sup>H (200 MHz Varian); solvent: CDCl<sub>3</sub>, reference TMS (δ in ppm):

5.28 (m, 1H, CH); 4.38-4.14 (m, 4H, CH<sub>2</sub>O); 2.41 (s, 3H, CH<sub>3</sub>COCO); 2.01 (s, 6H, CH<sub>3</sub>CO). NMR <sup>1</sup>H in agreement with the

30 formula. NMR <sup>13</sup>C (200 MHz Varian), solvent: CDCl<sub>3</sub>, reference TMS (δ in ppm):

190.9 (1C, carbonyl); 170.4 (2C, acetyl); 159.8 (1C, pyruvyl); 71.5 (1C, CH acetyl); 68.6 (1C, CH pyruvyl); 61.9, 61.8 (2C, CH<sub>2</sub>O); 24.7 (1C, CH<sub>3</sub> pyruvyl); 20.6 (2C, CH<sub>3</sub> acetyl)

35 in agreement with the formula. IR (cm<sup>-1</sup>, CCl<sub>4</sub>) : 3593 (OH bond, from hydrated C=O), 2973, 1762 (C=O bond), 1752 (C=O bond), 1744 (C=O bond), 1736 (C=O bond), 1374, 1242.



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The NMR and IR spectra show that on a small fraction of the molecules, one molecule of water is fixed on a carbonyl group to form a stable hydrated keto ester.

#### Synthesis of PADA

5        PADA was prepared in 95% yield by esterification of dihydroxyacetone monoacetyl with pyruvyl chloride, as above.

TLC on silica (developed with chloroform/methanol/hexane 12/1/1 and revealed with iodine) showed one spot corresponding to PADA ( $R_f$  0.45-0.50), and no  
10 dihydroxyacetone, dihydroxyacetone monoacetate, or diacetate.

The formula of PADA was verified by (i) NMR  $^1H$  and  $^{13}C$ , (ii) infrared spectra, (iii) enzymatic assay of the components of PADA after hydrolysis, and (iv) HPLC before and after hydrolysis.

15 NMR  $^1H$  (200 MHz Varian), solvent  $CDCl_3$ , reference TMS ( $\delta$  in ppm):

PADA (keto form) :4.94 (s, 2H,  $CH_2OCOCO$ ); 4.74 (s, 2H,  $CH_2OCO$ ); 2.49 (s, 3H,  $CH_3COCO$ ); 2.08 (s, 3H,  $CH_3CO$ ). NMR  $^{13}C$  (200 MHz Varian), solvent  $CDCl_3$ , reference TMS ( $\delta$  in ppm):

20 PADA (keto form) :198.0 (1C, keto of DHA); 192.9 (1C, keto of pyruvyl); 170.1 (1C, acetyl); 159.1 (1C, pyruvyl); 67.3, 66.3 (2C,  $CH_2O$ ); 26.7 (1C,  $CH_3$ , pyruvyl); 20.3 (1C,  $CH_3$  acetyl). Spectra in agreement with the formula. Enzymatic assay of pyruvate after hydrolysis was in agreement with the formula.

#### 25 Reperfusion

As described in PCT/US97/04335, pyruvate is an effective drug for coronary reperfusion as treatment for acute myocardial infarction. The present compounds are equally suited for such an application.

#### 30 Ethanol Metabolism

As fully described in PCT/US97/04335, ethanol can be exported from the liver, but there is no large-scale mechanism for exporting reducing equivalents from the liver. One obvious export mechanism would (i) trap reducing  
35 equivalents in the conversion of pyruvate to lactate, and

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(ii) export lactate to peripheral tissues. However, plasma pyruvate concentration is very low (0.05-0.1 mM). Pyruvate could be generated from glucose and amino acids, but these processes would further increase the liver's ATP burden. For these reasons several of the pyruvate compounds of the present invention are particularly suited to assist the body with ethanol oxidation.

#### Decrease in myocardial infarct size in swine by DPAG.

The animals were anesthetized, instrumented, and subjected to 60 minutes of total occlusion of the distal 2/3 of the left anterior descending coronary artery, followed by 120 min of reperfusion. The area of the infarct was stained with tetrazolium, and infarct size quantitated on 5 mm slices of tissue. Treatment with DPAG was started with the onset of reperfusion (8.0 mg/kg·min for 120 min). DPAG did not affect heart rate or ventricular pressure. Infarct size, expressed as a percent of the area at risk for infarction, was  $40.0 \pm 3.6\%$  in the control group (n=9), which compares favorably with recently published values from another laboratory using the same swine model ( $38.6 \pm 2.6\%$ ). With reference to Figure 1, the DPAG treated group (n=6) had an infarct size of  $9.1 \pm 3.8\%$ , which was statistically lower than the control group ( $p < 0.0005$  by t-test). This demonstrated that DPAG, infused upon reperfusion, decreases markedly the size of myocardial infarct. A PNACE group (n = 4) had an infarct size of about  $16 \pm 4\%$ .

#### Acceleration of ethanol oxidation

Rat livers were infused with ethanol and the components of the esters of glycerol-and-DHA pyruvate to represent the conditions that will occur after ester hydrolysis. Livers from 24 h-fasted rats were perfused with non-recirculating buffer containing 4 mM glucose and 2 mM ethanol (20 times the  $K_m$  of ADH for ethanol, to insure zero order kinetics). After 10 min baseline, the influent perfusate was enriched with various equimolar concentrations of the components of the esters, ie DHA + Na-pyruvate, or glycerol + Na-pyruvate (up

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to 2.2 mM). These conditions simulated infusion and hydrolysis of glycerol- or DHA-monopyruvate. The uptakes of ethanol, pyruvate, DHA and glycerol, as well as the productions of lactate and glucose were measured.

5 Addition of the components of the pyruvate esters increased ethanol uptake up to 5 fold (Figs 3 and 4). As expected, the uptake of ethanol was greater in the presence of DHA than in the presence of glycerol. This clearly shows that DHA contributes to the trapping of reducing equivalents  
10 derived from ethanol oxidation. In perfusions with glycerol + pyruvate, correlation between pyruvate uptake and lactate output was linear with a slope of 0.7. Thus, 70% of the pyruvate taken up was converted to lactate. In perfusions with DHA + pyruvate, the correlation had also a slope of 0.7  
15 up to a pyruvate uptake of 13  $\mu\text{mol}/\text{min}\cdot\text{g}$  dry wt (corresponding to influent DHA and pyruvate concentrations of 0.7 mM). At higher DHA and pyruvate concentrations, the slope increased to 1.45. However, at the highest DHA and pyruvate concentration used, the ratio (lactate  
20 release)/(pyruvate uptake) was 0.96. The fraction of pyruvate uptake not accounted for was presumably converted to glucose and  $\text{CO}_2$ . The uptake of glycerol and DHA increased with their concentration in the perfusate. As long as the (lactate production)/(pyruvate uptake) ratio was less than  
25 1.0, there was no net conversion of glycerol or DHA to lactate. This occurred only at high DHA concentration. Thus, most of the glycerol and DHA were converted to glucose, glycerides,  $\text{CO}_2$  or to a combination of these species. The relationship between ethanol uptake and lactate production.  
30 Lactate yield was lower when pyruvate was infused with DHA rather than glycerol.

Before the infusion of the components of the pyruvate esters, the effluent [lactate]/[pyruvate] ratio could not be measured with precision, but must have been very high given  
35 the presence of ethanol. As the concentrations of the ester components increased from 0.4 to 2.2 mM, the [lactate]/[pyruvate] ratio went down from about 12 to about 2. Thus, essentially all reducing equivalents generated from

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ethanol were exported as lactate. The oxidized status of the liver NADH/NAD<sup>+</sup> system may have allowed oxidation of part of the substrates, including acetate derived from ethanol.

In summary, these experiments confirmed that ethanol  
5 oxidation is stimulated by the components of DHA-pyruvate and glycerol-pyruvate. DHA is preferred as it acts not only as an esterifying group for pyruvate but also as a trap for reducing equivalents in its own right.

#### Therapeutic pyruvate concentration in vivo with DPAG

10 After preparing pure DPAG, experiments were performed to test whether it could be used to impose a therapeutic concentration of 1 mM pyruvate in arterial blood. Overnight-fasted rats, anesthetized with halothane, were infused in the jugular vein with DPAG at  $90 \mu\text{mol}\cdot\text{min}^{-1}\cdot\text{kg}^{-1}$  for 90 min. This  
15 rate corresponds to about 120% of the rats' caloric requirement. Five blood samples (70  $\mu\text{l}$ ) were taken from the carotid artery between 60 and 90 min. The arterial concentrations of pyruvate, lactate, and glycerol were clamped at 1.0, 2.5, and 0.8 mM, respectively. Corresponding  
20 portal vein concentrations at 90 min were 0.6, 2.5, and 1.0 mM, respectively. Control rats show normal arterial concentrations of pyruvate (0.05 mM) and lactate (0.3 to 0.6 mM; normal values for lactate are up to 1.5 mM). In rats infused with DPAG, the arterial concentrations of pyruvate  
25 and lactate were clamped at 1.0, and 2.5 mM, respectively. Corresponding portal vein concentrations at 90 min were 0.6 and 2.5 mM, respectively. Arterial glucose remained at 5-6 mM. Final samples of aortic blood showed normal acid-base and electrolyte parameters. Thus, DPAG can be safely used to  
30 set up the 1 mM target concentration of pyruvate expected to be beneficial for the treatment of reperfusion injury. Similar data were obtained when PADA was infused to rats. This was achieved without sodium overload and/or acid-base perturbations. Second, the lack of major increases in  
35 glucose and lactate concentrations shows that administration of DPAG at 120% of the caloric requirement spares endogenous energy sources, probably including proteins. Third, during

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peripheral administration of DPAG at  $90 \mu\text{mol}\cdot\text{min}^{-1}\cdot\text{kg}^{-1}$ , portal pyruvate concentration was about 2/3 that which yielded a 3 to 6-fold increase in ethanol uptake by perfused rat livers. A portal pyruvate concentration of 1 mM could be achieved (i) by increasing the peripheral infusion of DPAG to  $120 \mu\text{mol}\cdot\text{min}^{-1}\cdot\text{kg}^{-1}$ , or (ii) by administering DPAG enterally to better target portal vein concentrations.

DPAG can thus be safely used to set up the 1 mM target concentration of arterial pyruvate expected to be beneficial for the treatment of ethanol overdose and reperfusion injury. This was achieved without sodium overload and/or acid-base perturbations. Also, the lack of major increases in glucose and lactate concentrations shows that administration of DPAG at 120% of the caloric requirement spares endogenous energy sources, probably including proteins.

The effect of DPAG on the rate of ethanol uptake by perfused rat livers was also tested. Livers were perfused with non-recirculating buffer containing 4 mM glucose, 2 mM ethanol and variable concentrations of DPAG (0 to 1.5 mM). Uptake of ethanol by the liver increases 2.5 fold when DPAG concentration is raised from zero to 0.5 mM. Note that 0.5 mM DPAG corresponds to 1 mM pyruvate after hydrolysis. Thus, to accelerate ethanol oxidation *in vivo*, the rate of DPAG administration should be adjusted to achieve a 1 mM concentration of free pyruvate in the portal vein. When DPAG was infused to live rats at  $90 \mu\text{mol}\cdot\text{min}^{-1}\cdot\text{kg}^{-1}$ , the portal vein concentration of pyruvate was 0.6 mM. A portal pyruvate concentration of 1 mM could be achieved *in vivo* (i) by increasing the peripheral infusion of DPAG to  $120 \mu\text{mol}\cdot\text{min}^{-1}\cdot\text{kg}^{-1}$ , or (ii) by administering DPAG enterally to better target portal vein concentrations.

Accordingly, DPAG and PADA are effective in the treatment of alcoholic coma to prevent complications such as brain damage, hypothermia, respiratory depression, and pulmonary infection and in the oral intake of the esters in conjunction with ingestions of alcoholic beverages, to accelerate ethanol oxidation and restore the capacity to drive a vehicle or operate machinery.

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**Pyruvate and the Krebs Cycle**

As is well recognized, several oxidation steps in the Krebs cycle involve dicarboxylic and tricarboxylic acids. However, it is understood that a certain malfunction of this cycle can occur via leakage of dicarboxylic and tricarboxylic acids (cataplerosis). It is believed the pyruvate delivered in accord with this invention can restore Krebs cycle effectiveness by "refilling" the pools of dicarboxylic and tricarboxylic acids (anaplerosis).

**10 Dermatological uses of Pyruvate**

Dermatologic applications of the above described pyruvate esters and thioesters have been identified. As described more fully herein below, the novel pyruvates can be used as active agents or as preservatives in topical and oral dermatologic applications.

Particularly, the compounds are suitable for stabilization of all topical preparations or oral preparations to prevent oxidative damage to the ingredients in the formulation of, for instance, sunscreen chemicals and their stabilizers, antioxidants and the associated preservatives, cosmetic antioxidants, bioactives, or other formulation purposes; oral agents in suspension in which the suspension needs or could be enhanced by a safe anti-oxidant.

Similarly, the compounds can be added to the composition of tanning creams where the tanning effect of the dihydroxyacetone (DHA) moiety will be complemented by the antioxidant action of the pyruvate and thiol moieties of the pyruvate esters and thioesters.

The result is believed to be protection of the skin against oxidative injury caused by a number of conditions including, but not limited to environmental stress from ultraviolet radiation (including prevention and treatment of sunburns) and routine suberythemogenic light exposure, and pollutants (including tobacco smoke), endogenous stress resulting from diseases (cancer, infections, inflammatory

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conditions, etc.), and diaper dermatitis-dermatological wound healing composition.

The compounds will also prevent, reduce and heal physical and chemical damage resulting from contact with harmful chemicals, radiation therapy damage including chemotherapy complication, laser exposure, laser surgery, electrochemical destruction, all skin peels, whether via chemical destruction (i.e., phenol, glycolic acids, alpha hydroxy acids, or other acids) or physical destruction (liquid nitrogen, laser light, other electromagnetic radiation or electro destructive, photodynamic therapies, etc.) can also be reduced via the present pyruvate compounds. In fact, the compound should be suitable to reduce general aging (chronologic aging), structural changes and wrinkling (photoaging, tobacco aging) of the skin.

In each instance, the pyruvate esters and thioesters of this invention are particularly advantageous because they are soluble in typical ointments and creams and less damaging to the skin than prior pyruvate compounds. Examples of suitable systems for delivery include ointments, creams, emulsions, lotions, mousses, gels, sprays, microencapsulated powders, solutions, dispersions, patches, bandages or any other form known to those skilled in the art.

Pyruvate esters, as an antioxidant, would be expected to attenuate inflammation, damage and aging of the skin through oxidative injury. Because pyruvate esters blocks UV-induced inflammation in human skin, one can reasonably predict that the esters will act on the inflammatory mechanisms that create inflammation in the skin after UV radiation injury, and which are shared by many other skin diseases. These include, but are not limited to, soluble mediator release (eicosanoids, histamine, mast cell products), reactive oxygen species mediated skin damage (both as a direct effect of photons reacting with skin cellular and structural elements and as a result of cellular ROS generated secondarily to the injury), DNA damage, cellular reactivity to UV-induced products (direct photoproducts, mediators, chromophores, DNA excision products, products of oxidation), cytokine release

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and action, skin reconstruction, bone marrow derived cell activation, infiltration of leukocytes and their activation, vascular endothelial cell activation, adhesion molecule function and expression, fibroblast function, complement  
5 activation, fibronectin modification, immune function alteration.

In addition, it is expected that the compounds will assist with all skin cell activations that occur in other skin conditions that result in generation of reactive oxygen  
10 species or nitric oxide; most inflammatory reactions in the skin are associated with, and may be critically dependent upon, ROS or NO generation during the evolving pathogenic events in time and microanatomic location in the skin. In short, the pyruvate esters, as a safe and effective topical  
15 antioxidant, would be useful as both a protecting ingredient and for promoting the health and resistance of skin against aging and injury. Similarly, it is believed that skin cell damage may be increased by the decrease of ROS by leukocytes which travel to the initially injured skin. The pyruvate  
20 which are believed to block the leukocytes and/or their ROS generation can help to reduce this effect.

The following list of dermatological applications derives from the above understanding:

- Prevention and treatment of inflammation in the skin.
- 25 - Prevention of damage (including sunburn) from natural solar radiation or tanning beds or industrial/occupational exposure UV.
- Prevention of skin cancer, either via prevention of tumor promoting inflammation, or via prevention of oxidant  
30 mediated mutations in DNA.
- Prevention of photoaging, such as loss of elasticity, immune function, development of solar lentigos (liver spots), actinic keratoses, dryness of the skin, wrinkling, sallow color.
- 35 - Prevention of skin injury and reduction of inflammation from chemicals, pesticides, wind or other environmental agents.
- Prevention of skin aging.



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- Compensation for aging skin diminishment of ROS defense and the sequelae of such.

- Improve skin function in the face of repeated exposure to UV radiation and chemicals.

5       - Prevent skin aging processes attributable to ROS damage, including dryness, poor wound repair, poor immune function, easy bruisability, loss of elasticity, wrinkling, sallow color.

10       - Prevention and treatment of UV-induced immunosuppression and immune alteration of the skin

15       - Protection against injurious substances or environmental hazards which damage the skin such as carcinogens with direct or indirect oxidant effects, cold injury and frostbite, thermal and chemical burns, chemical irritants.

- Treatment of inflammatory skin diseases.

20       - Treatment of autoimmune diseases with activated leukocytes such as, but not limited to atopic dermatitis, xerotic eczema (dry skin), psoriasis, dermatitis herpetiformis, alopecia areata, granuloma annulare, sarcoid lupus erythematosus, lichen planus, scleroderma, graft vs. host disease.

25       - Treatment of other inflammatory diseases with activated leukocytes such as, but not limited to, contact dermatitis, drug reactions, erythema craquile, spongiotic dermatitis, lichen simplex chronicus, urticaria, toxic epidermal necrolysis, Stevens Johnson Syndrome, erythema multiforme.

30       - Treatment of other inflammatory skin conditions, such as, but not limited to, vasculitis, pyoderma gangrenosum, skin wounds, skin ulcers, keloids, scarring from wounding and wound repair.

35       - Prevention of exacerbation or relapse of inflammatory skin diseases that may be in partial or complete remission, such as, but not limited to, atopic dermatitis (eczema), psoriasis, xerotic eczemas, stasis dermatitis, psoriasis, lupus erythematosus.

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- Reduction and prevention of vasculitis from all causes or no known underlying cause.
- Reduction and prevention of skin ulcers from all causes or no known underlying cause.
- 5       - Reduction of damage from melanomas and prevention of pigmentation response such as skin hyperpigmentation and early changing melanocytes.

It is believed that internal administration of compounds for prevention of photoinjury, thermal, or chemical  
10 injury to areas not able to be protected by topical administration of material, for instance scalp, ears, lips, periocular skin, eyelids, conjunctivae, cornea, scleral conjunctivae, lens, vitreous, and retina and even the exposed skin diseases and conditions are certainly feasible. In any  
15 event, it is believed that 1-30 ppm pyruvate may adequately achieve many of the above treatments. However, levels of about 0.01 to 1.0% and above are preferred.

#### Evaluations of Dermatological Applications

- 1) Pyruvate ester compounded in ethylene  
20 glycol/aquaphor per separate notes at 0.01%, 0.03%, 0.1%, 0.3%, 1.0%, 3.0%
- 2) Stored at 4°C in capped syringes
- 3) Twice daily applications, beginning with 0.01% and observing the test site for irritation for 8-12 hours before  
25 proceeding to the next concentration
- 4) 0.8 cc of each conc. was applied to the volar forearm at one of a set of premarked 2cm<sup>2</sup> areas corresponding to each conc.
- 5) After no irritation was observed for the 0-0.1%  
30 preparations, the test sites were administered natural solar radiation (4 hr. post AM test material application)
- 6) Between 11:00 AM - 11:25 AM, the volar forearm was exposed to natural solar radiation, and 4 hr. later another 0.8cc of test materials were applied to the appropriate sites  
35 6) 7 hours later the sites were graded by subjective assessment and Minolta chromameter.

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Three chromameter measurements of three flashes each were taken from each site.

## Results:

## 1) Irritation testing:

		Day	Redness	Surface	Sensation	Odor
5	Aquaphor	0	none	sl. xerosis	none	none
		1	none	smooth	none	none
		2	trace	smooth	none	none
10	0.01%	0	none	sl. xerosis	none	none
		1	none	smooth	none	none
		2	faint trace	smooth	none	none
	0.03%	0	none	sl. xerosis	none	none
		1	none	smooth	none	none
		2	none	smooth	none	none
15	0.10%	0	none	sl. xerosis	none	none
		1	none	smooth	none	none
		2	none	smooth	none	none
	0.30%	0	none	sl. xerosis	none	none
		1	none	smooth	none	none
		2				
20	1.00%	0	none	sl. xerosis	none	slight, pungent
		1	none	smooth	none	none

## Redness: 7 hours post NSR

		Pyruvate Conc.	Chromameter Redness		Mean	SEM	Redness: Subj.
25	Dry Adjacent Skin	0	9.74		9.74		trace
		0.00	10.17	Pyruvate			trace
30	Aquaphor	0.00	9.42	Conc			
		0.00	10.50	0.00	10.03	0.32	
		0.01	8.72				faint -
		0.01	8.48				trace
35	mg% in Aquaphor	0.01	8.78	0.01	8.66	0.09	
		0.03	7.19				none
		0.03	6.81				
		0.03	6.20	0.03	6.73	0.29	
40		0.10	6.51				none
		0.10	6.32				
		0.10	6.41	0.10	6.41	0.05	

## Conclusion:

Pyruvate ester in aquaphor is non-irritating and can block experimentally induced skin inflammation in human skin

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## PIGMENTATION

48 hours post NSR

	Pyruvate Ester Conc.	Chromameter pigmentation (1/L)	Pyruvate Conc.	pigmentation (1/L)
5	0	0.01523		
Aquaphor	0.00		0.00	0.01523
	0.01	0.01500	0.01	0.01500
10	0.01			
Aquaphor	0.01	0.01476	0.01	0.01500
	0.03			
	0.03		0.03	0.01476
	0.10	0.01428		
15	0.10			
	0.10		0.10	0.1428
dry, unexposed skin		0.01334		
20	Conclusion: Pyruvate ester prevented melanocytic response to UV as measured by tanning induction (reciprocal of lightness value by chromameter)			

## REDNESS: 48 hours post NSR

	Pyruvate Ester Conc.	Chromameter Redness		Redness: Subj.
25	0	5.33		trace
Dry Adjacent Skin				
Aquaphor	0.00	9.40	Pyruvate Conc	trace
30	0.00		0.00	
	0.01	8.31	redness	
mg% in Aquaphor	0.01			faint - trace
	0.01		0.01	
35	0.03	6.28		none
	0.03		0.03	
	0.10	6.94		none
	0.10		0.10	
	0.10			6.94

## 40 REDNESS: 48 hours post NSR

	Pyruvate Ester Conc.	Chromameter Redness	a*		
45	0	5.33			5.33
Dry Adjacent Skin					
Aquaphor	0.00	9.40	4.07	Pyruvate Conc.	redness
	0.00			0.00	9.40
	0.01	8.31	2.98		
mg% in Aquaphor	0.01				
50	0.01			0.01	8.31
	0.03	6.28	0.95		
	0.03			0.03	6.28
	0.10	6.94	1.61		
55	0.10				
	0.10			0.10	6.94

a\* = Change in Redness from Baseline of Dry Adjacent Skin

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These results are further represented in the Tables attached as Figures 2-6.

#### TREATMENT FOR DIABETIC KETOSIS

Under normal conditions, glucose and long-chain fatty acids (FA) are the major energy fuels for most tissues. Ketone bodies (KB), i.e., acetoacetate (AcAc) and R- $\beta$ -hydroxybutyrate (R-BHB, also called D-BHB) are formed from the partial oxidation of FA in liver. These strong acids are totally ionized at physiological pH. KB are important fuels in fasting, strenuous exercise, postexercise recovery, etc (1,2). They accumulate in starvation and diabetic ketoacidosis (DKA).

With respect to this invention, it is believed in theory, but not a theory to which Applicants intend their invention to be limited that ketogenesis is fueled by FA released by adipose tissue lipolysis. The uptake of FA by the liver is directly proportional to their plasma concentration. In liver cytosol, FA are activated to acyl-CoAs which are either incorporated into lipids, or channeled to the mitochondria for oxidation. Transfer of acyl-CoAs to the mitochondria occurs via the carnitine palmitoyl transferases I and II (CPT I and II), with transient conversion to long-chain acyl-carnitines. The activity of CPT I, a major regulator of the entry of LC-acyl-CoAs into mitochondria, is inhibited by malonyl-CoA, an intermediate of fatty acid synthesis (see below). Once in liver mitochondria, acyl-CoAs undergo  $\beta$ -oxidation to acetyl-CoA. The three main fates of mitochondrial acetyl-CoA are (i) oxidation to CO<sub>2</sub> via citrate in the citric acid cycle (CAC), (ii) transfer to the cytosol via citrate and ATP-citrate lyase, and (iii) conversion to ketone bodies

Ketogenesis is regulated at three levels: supply of FA to the liver,  $\beta$ -oxidation of FA in liver, and energy status of the liver. First, the release of FA from adipose tissue is under hormonal control. It is stimulated by catecholamines and glucagon via hormone-sensitive lipase. It is inhibited by insulin. Second, in liver, the  $\beta$ -oxidation of FA is under

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nutritional and hormonal control. It is activated by starvation, diabetes, and high-fat diet and inhibited by high-carbohydrate diet. In addition, FA oxidation is inhibited by malonyl-CoA, an intermediate of FA synthesis.

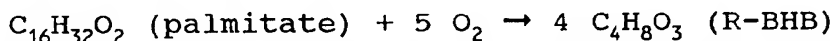
5 This provides a reciprocal control of FA synthesis and oxidation. Third, ketogenesis represents a spillover of carbon derived from FA  $\beta$ -oxidation which cannot be oxidized in the CAC. Since CAC activity is directly linked to ATP turnover, and since the flux through  $\beta$ -oxidation often

10 exceeds the CAC flux, excess  $\beta$ -oxidation carbon is exported as ketone bodies. This explains why hypermetabolic states (burn or crush injuries) that result in increased liver ATP turnover, are associated with low plasma ketone body concentrations in spite of the stimulation of lipolysis by

15 stress hormones.

Although ketogenesis can be greatly activated by dietary and hormonal manipulations, its absolute maximal rate is set by the  $O_2$  uptake of the liver in a given metabolic situation. This rate can be calculated by assuming that all the  $O_2$

20 uptake of the liver is used only to oxidize reducing equivalents formed during the conversion of FA to R-BHB.



For example, consider a 20 kg dog with a 500 g liver that takes up  $O_2$  at  $2 \mu\text{mol}\cdot\text{min}^{-1}\cdot(\text{g liver})^{-1}$  or  $1 \text{ mmol}\cdot\text{min}^{-1}$ , which

25 corresponds to a R-BHB production of  $0.8 \text{ mmol}\cdot\text{min}^{-1}$ , or  $1.15 \text{ mol}\cdot\text{day}^{-1}$ . This is clearly an unrealistic maximal rate since, to achieve it, no  $O_2$  would be used for any other metabolic process in liver. However, this maximal rate is useful to discuss mechanisms of DKA.

30 R-BHB and AcAc represent a water-soluble form of FA which are transported from the liver to peripheral tissues. There, KB are converted to acetyl-CoA by mitochondrial 3-oxoacid CoA transferase (OAT) and AcAc-CoA thiolase:



35  $\text{AcAc-CoA} + \text{CoA} \leftrightarrow 2 \text{ acetyl-CoA (AcAc-CoA thiolase)}$

In peripheral tissues, acetyl-CoA derived from KB has two main fates, (i) oxidation to  $CO_2$  via the CAC, and (ii) transfer to the cytosol via citrate and ATP-citrate lyase (in

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lipogenic organs: adipose tissue, developing brain, lactating mammary gland).

In a given metabolic condition, the rate of KB uptake by peripheral tissues is roughly proportional to their plasma concentration over a wide dynamic range (1-4). When entering a given tissue, KB compete with other fuels (FA and glucose) for the production of acetyl-CoA. This competition is influenced by the OAT activity of each tissue, the concentration of competing fuels, and the rate of acetyl-CoA production from competing fuels

Consider the 20 kg dog. Its daily caloric requirement is  $110 \cdot 20^{0.75} = 1040$  kcal/day. If all this caloric requirement were met by R-BHB oxidation (4.7 kcal/g), the dog would oxidize 221 g or 2.1 mol/day. This is about twice the theoretical maximal rate of ketogenesis. There is evidence that the capacity of peripheral tissues to utilize KB is not far from the theoretical maximum. For example, dogs were infused with 1,3-butanediol diacetoacetate (4), a sodium-free precursor of KB (5), at 90% of their caloric requirement. This corresponds to a rate of R-BHB infusion of 1.8 mol/day. The plasma concentration of total KB plateaued at 3 mM, reflecting metabolism of all the infused compound. Also, in pigs infused with 1,3-butanediol diacetoacetate at 30% of caloric requirement, the steady state total KB concentration plateaued at only 0.5 mM. This is remarkable given that pigs are deficient in liver mitochondria HMG-CoA synthase, and have thus a very low capacity to make KB. Thus, in normal animals, peripheral tissues including the brain take up avidly KB when their plasma concentration is raised.

One of the main characteristics of decompensated insulin-dependent diabetes, in addition to hyperglycemia, hypokalemia, and dehydration, is ketoacidosis (up to 20 mM), resulting in life-threatening perturbations of acid/base status. DKA results from an imbalance between KB production and utilization. Reviews from the literature emphasize the concept that DKA results mostly from hyperproduction of KB. Although a decrease in the capacity of peripheral tissues to utilize KB is mentioned. Strategies for decreasing ketosis

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are solely aimed at (i) inhibiting adipose tissue lipolysis (insulin), and (ii) inhibiting the conversion of FA to KB in liver. To the best of our review of the literature, there are no strategies for accelerating KB utilization in peripheral  
5 tissues. Based on the above, we think that underutilization of KB by peripheral tissues is a key component of the development of DKA.

To avoid the sodium load, we synthesized esters of pyruvate and glycerol or dihydroxyacetone (DHA). Glycerol and  
10 DHA are the only esterifying compounds which can be administered in large amounts. Glycerol is a physiological substrate which is released by lipolysis mostly from adipose tissue. About 25% of glycerol production is taken up by the liver, 25% by the kidneys, and 50% by peripheral tissues.  
15 Although DHA is not a physiological substrate, it is metabolized by glycerol kinase to DHA-phosphate, which is also a product of glycerol metabolism.

Prediabetic male BB rats were purchased from the University of Massachusetts Breeding Center. They were  
20 treated with insulin (PZI 40 U/mL, Anpro Pharmaceutical), mean of 2.1 U for rats weighing between 250-300g) as soon as they became hyperglycemic. They were used after at least three weeks of clinical stability and steady weight growth. Under general anesthesia, permanent catheters were inserted  
25 in a carotid artery and the contralateral external jugular vein. Then, the rats were deprived of insulin for two days. This resulted in hyperketonemia (9 -12 mM), hyperglycemia ( $22.6 \pm 1.8$  mM,  $n=18$ ), and loss of weight (39g over 2 days). The rats were then treated with one of four protocols:  
30 (i) saline infusion (0.267 ml/min. kg for 2h then 0.134 ml/min kg for 2h); (ii) saline infusion + 0.75 U of insulin intravenously (at 0 and 2 h); (iii) DPAG ( $90 \mu\text{mol}\cdot\text{min}^{-1}\cdot\text{kg}^{-1}$ , corresponding to 120% of caloric requirement of a normal rat of similar weight) in saline infusion; and (iv) DPAG in  
35 saline infusion + 0.75 U of insulin intravenously (at 0 and 2 h).

Fig. 7 shows that, with DPAG treatment, total KB concentration decreased by 90% in 2 hr, much faster than with



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insulin treatment (30%). When DPAG and insulin were administered together, their effect on ketosis was partly additive (Fig 7). Also, DPAG did not blunt the decrease in glucose concentration induced by insulin (Fig. 8). The data  
5 show that massive doses of DPAG are well tolerated by compromised BB rats in diabetic ketoacidosis. Thus, we hypothesize that DPAG could become part of the treatment of DKA. DPAG may decrease ketosis by (i) inhibition of lipolysis in adipose tissue, (ii) inhibition of the conversion of FA to  
10 KB in liver, and (iii) restoration of KB oxidation in peripheral tissues.

Pyruvate esters are not meant to replace the usual treatment of DKA by rehydration and insulin. They are meant to reinforce the usual treatment by accelerating the decrease  
15 in KB concentration and the restoration of a normal blood pH (which is lowered by KB). With the usual treatment, the decrease in KB concentration to acceptable low values (less than 1 mM) takes from 8 to 15 hr. Based on our animal experiments, we think that ketosis could be resolved in 1 or  
20 2 hr by infusing pyruvate esters at a rate ranging from 50 to 120% of the caloric requirement of the patient. The latter is calculated from the body weight by the formula

$$\text{Kcal/hr} = 4.6 \times (\text{body weight in kg})^{0.75}$$

Since the caloric density of DPAG and PADA is about 4  
25 kcal/gram, the amount of pyruvate esters to be infused is about Grams pyruvate ester/hr =  $0.9 \times (\text{body weight in kg})^{0.75}$

Thus it is apparent that there has been provided, in accordance with the invention, a pyruvate composition that fully satisfies the objects, aims, and advantages set forth  
30 above. While the invention has been described in conjunction with specific embodiments thereof, it is evident that many alternatives, modifications, and variations will be apparent to those skilled in the art in light of the foregoing description. Accordingly, it is intended to embrace all such  
35 alternatives, modifications, and variations as fall within the spirit and broad scope of the appended claims.

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We claim:

1. A method for treating or preventing dermatological illnesses or skin injury, reversing or slowing aging, or promoting skin health by aiding skin structure and function,  
5 said method comprising orally, intravenously or topically administering a therapeutically effective amount of a pyruvate compound in the form of an ester of pyruvate and a sugar or a polyol, pyruvate thioester, or a dihydroxyacetone-pyruvate ester.
2. The method of claim 1 wherein said pyruvate compound is dipyruvyl-acetyl-glycerol.
3. The method of claim 1 wherein said pyruvate compound is pyruvyl-acetyl-dihydroxyacetone.
4. The method of claim 1 wherein said pyruvate compound is pyruvyl-diacetyl-glycerol.
5. The method of claim 1 wherein said pyruvate compound is a pyruvate thioester of cysteine or homocysteine.
6. The method of claim 1 wherein said pyruvate thioester is a N-acetyl derivative thereof.
7. The method of claim 1 wherein said prevention and treatment is directed to causations selected from the group consisting of radiation, cancer, photoaging, chemical, wind, cold, heat, autoimmune diseases, and inflammatory diseases.
8. The method of claim 1 wherein said pyruvate compound is glucose-pyruvate ester.
9. The method of claim 1 wherein said pyruvate compound is ribose-pyruvate ester.
10. A process for treating diabetic ketosis comprising administering an effective amount of an ester of pyruvate and

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a sugar or a polyol, a pyruvate thioester, or a dihydroxyacetone-pyruvate ester.

11. The process of claim 10 wherein the pyruvate compound is dipyruvyl-acetyl-glycerol.

12. The process of claim 10 wherein said pyruvate compound is pyruvyl-acetyl-dihydroxyacetone.

13. The process of claim 10 wherein said pyruvate compound is pyruvyl-diacetyl-glycerol.

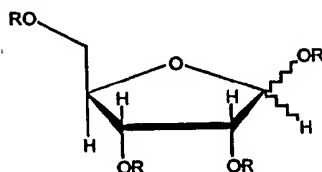
14. The process of claim 10 wherein said pyruvate compound is a pyruvate thioester of cysteine or homocysteine.

15. A method for administering pyruvate to a human which comprises treating said human orally, intravenously, or topically with an effective dosage or an ester of pyruvate and a sugar or a polyol.

16. The method of claim 15 wherein said sugar is further comprised of 4 to 7 carbon atoms.

17. The method of claim 15 wherein said sugar is selected from the group consisting of ribose, glucose and fructose.

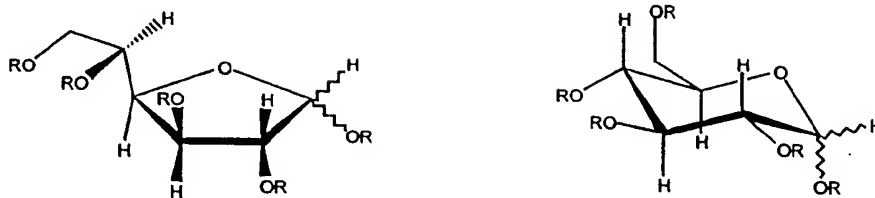
18. The method of claim 17 wherein said pyruvate is of the formula:



where R is H, pyruvyl, or a short-chain acyl, and one to four R is pyruvyl.

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19. The method of claim 17 wherein said pyruvate is of the formula:

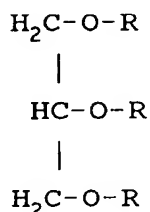


where R is H, pyruvyl, or a short-chain acyl, and one to five R is pyruvyl.

20. The method of claim 13 wherein said polyol is selected from the group consisting of four to seven carbon polyols, aldoses or ketoses.

21. A topical formulation suitable for cosmetically or dermatologically administering to the skin, said formulation comprising a carrier and including a compound selected from the group consisting of pyruvate thioester, dihydroxyacetone-pyruvate, an ester of pyruvate and a sugar or a polyol and mixtures thereof.

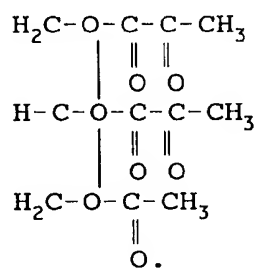
22. The topical formulation of claim 21 wherein said compound is of the formula:



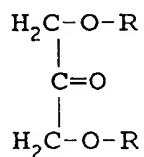
where one, two, or three R groups are pyruvyl and zero, one or two R groups may be a short-chain acyl selected from acetyl or propionyl.

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23. The topical formulation of claim 21 wherein said compound is of the formula:

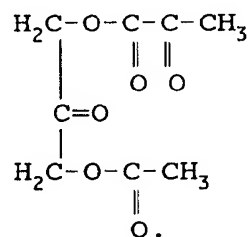


24. The topical formulation of claim 21 wherein said compound is of the formula:



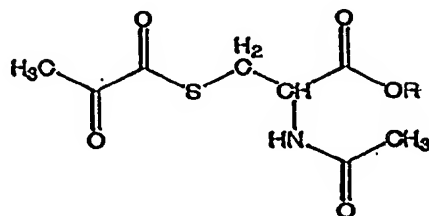
where one or two R groups are pyruvyl and zero, one R group may be a short-chain acyl selected from acetyl or propionyl.

25. The topical formulation of claim 21 wherein said compound is of the formula:



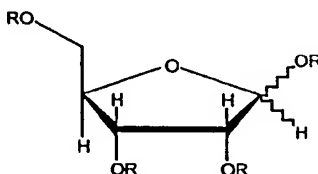
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26. The topical formulation of claim 21, wherein said compound is of the formula:



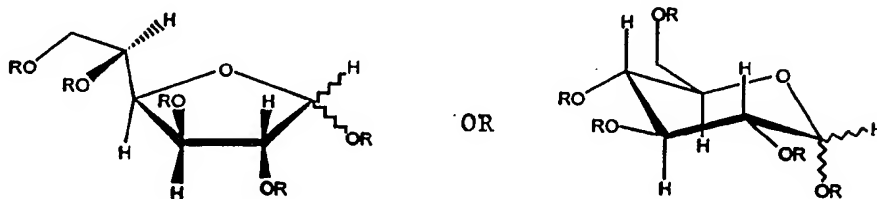
wherein R is selected from ethyl, methyl and alkyl groups.

27. The topical formulation of claim 21, wherein said compound is of the formula:



where R is H, pyruvyl, or a short-chain acyl, and where one to four R is pyruvyl.

28. The topical formulation of claim 21 wherein said compound is of the formula:



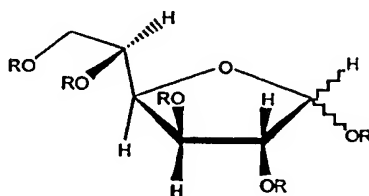
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where R is H, pyruvyl, or a short-chain acyl, and where one to five R is pyruvyl.

29. The formulation of claim 21 comprised of between 1 and 30 ppm pyruvate.

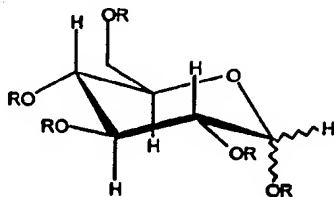
30. The formulation of claim 21 comprised of at least 0.01% by weight pyruvate.

31. A compound for administering pyruvate to humans comprised of the formula:



where R is H, pyruvyl, or a short-chain acyl, and where one to five R is pyruvyl.

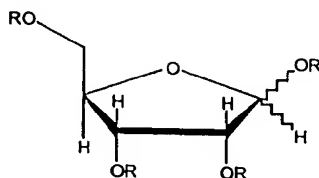
32. A compound for administering pyruvate to humans comprised of the formula:



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where R is H, pyruvyl, or a short-chain acyl, and where one to five R is pyruvyl.

33. A compound for administering pyruvate to humans comprised of the formula:



where R is H, pyruvyl, or a short-chain acyl, and where one to four R is pyruvyl.

34. A method of stabilizing a cosmetic or dermatological composition comprising the step of including at least one esterified pyruvate.



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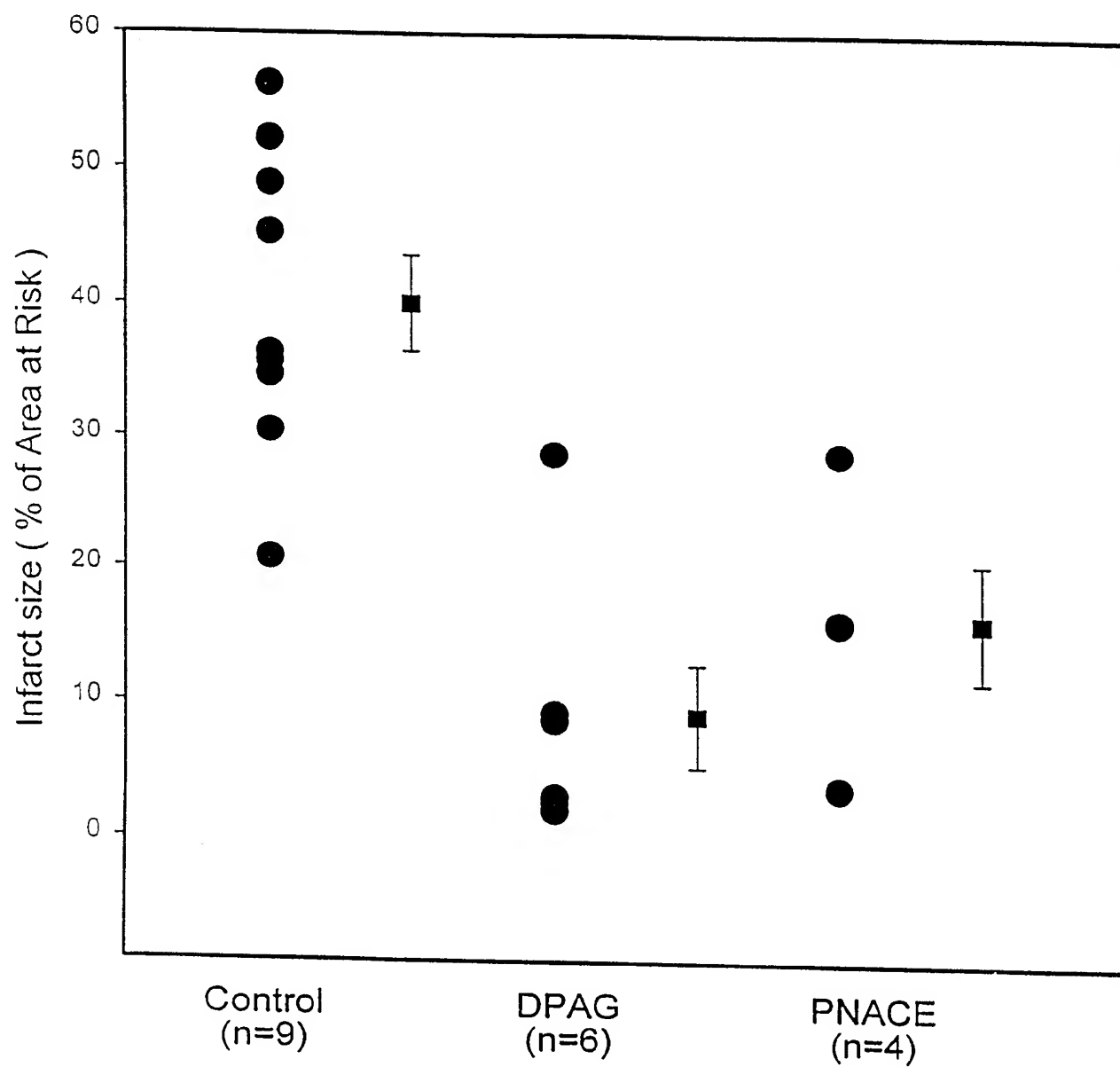


FIG. 1

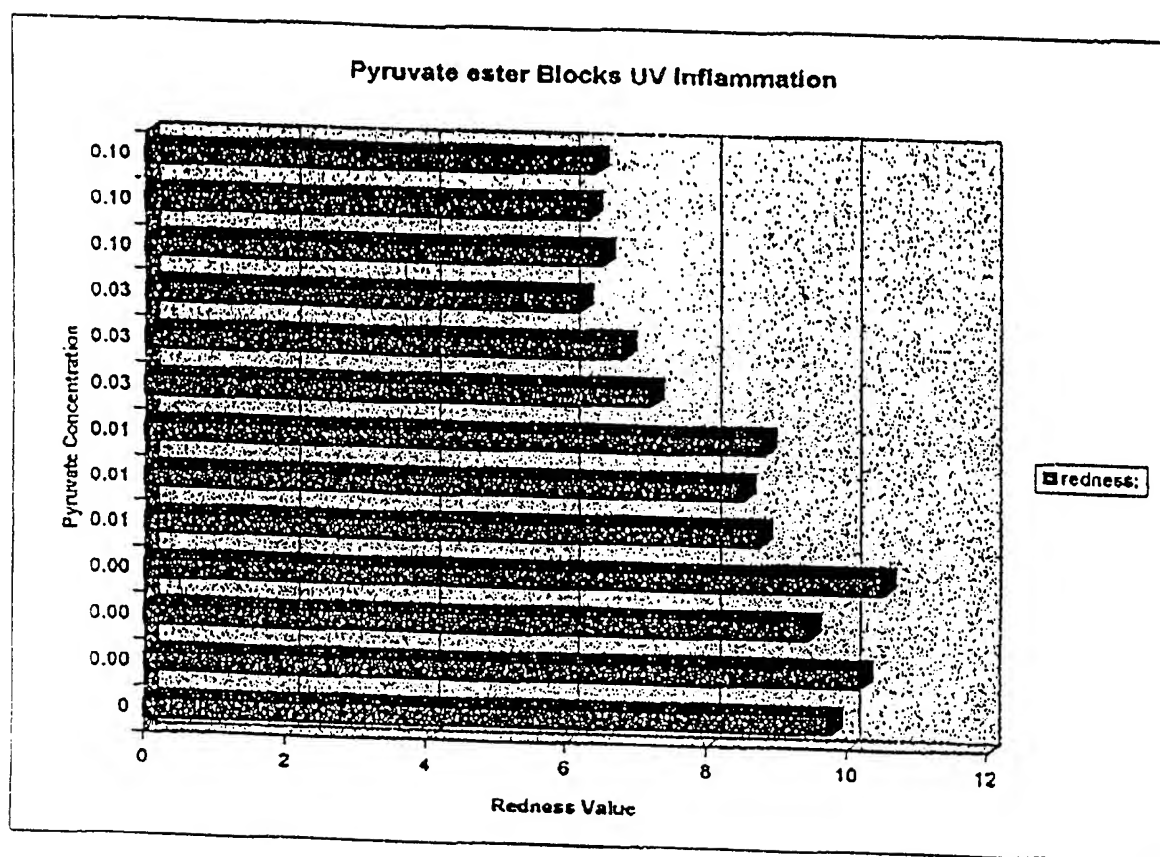
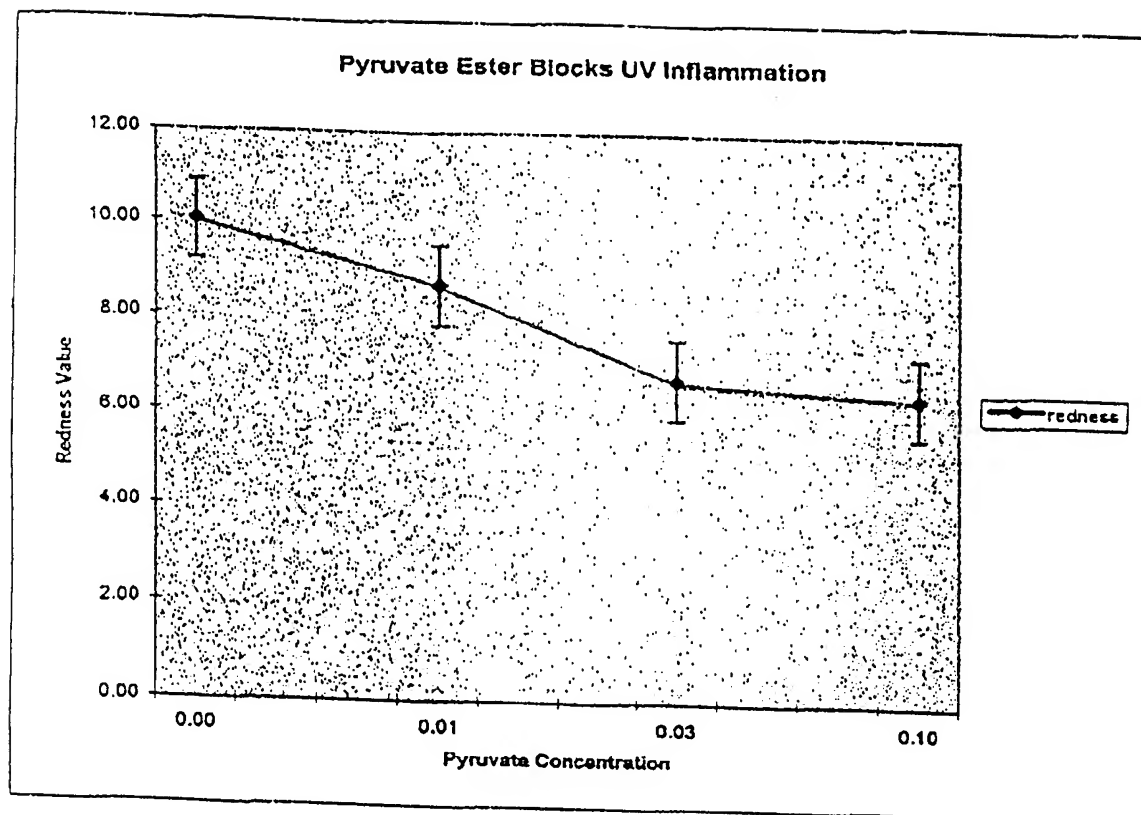


FIG. 2

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**FIG. 3**

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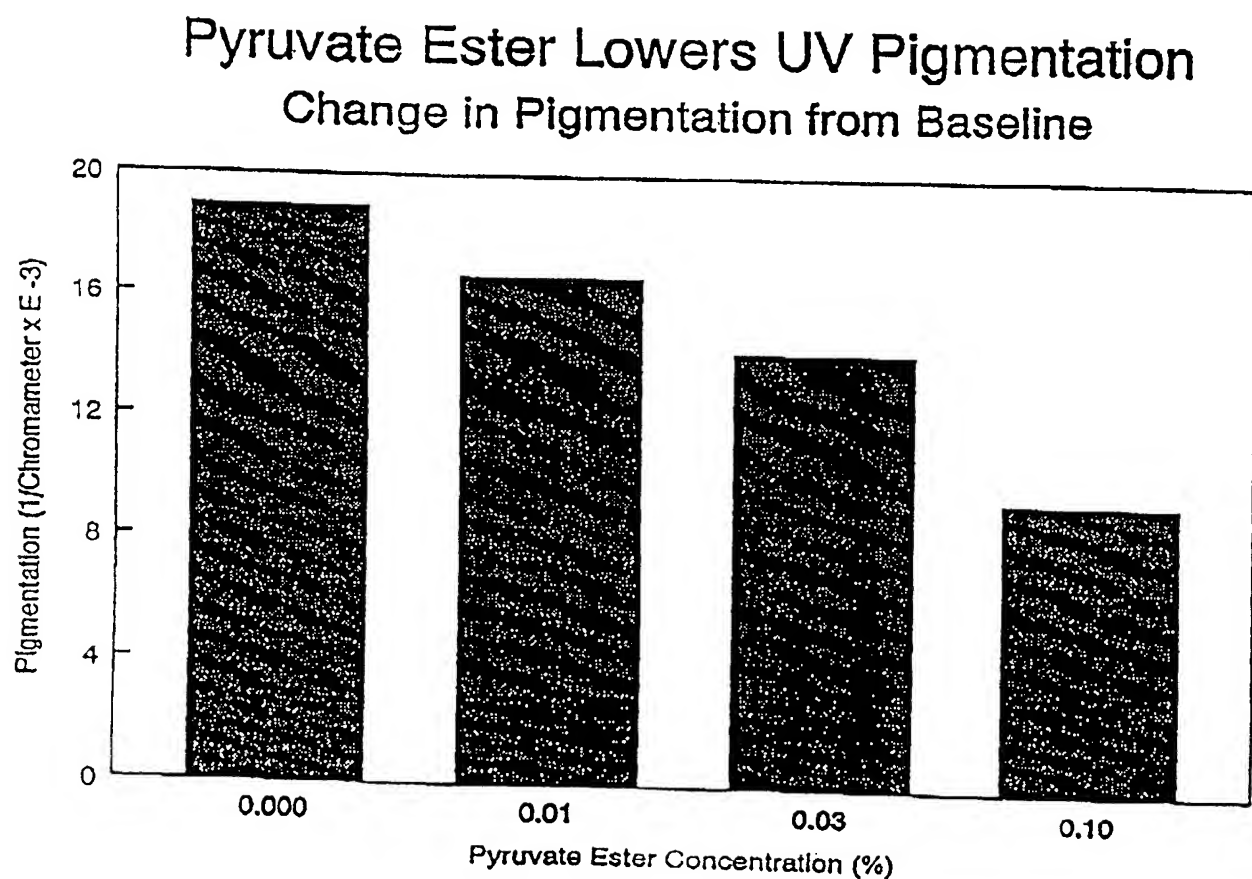
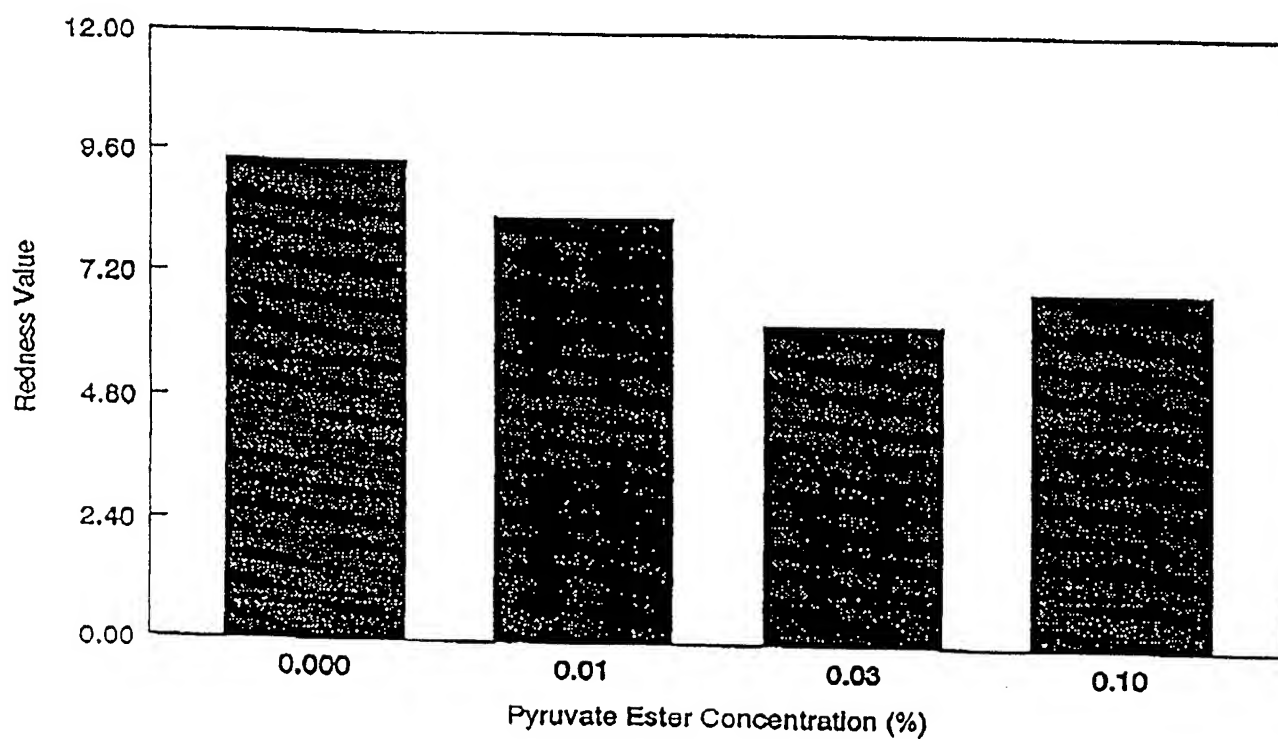


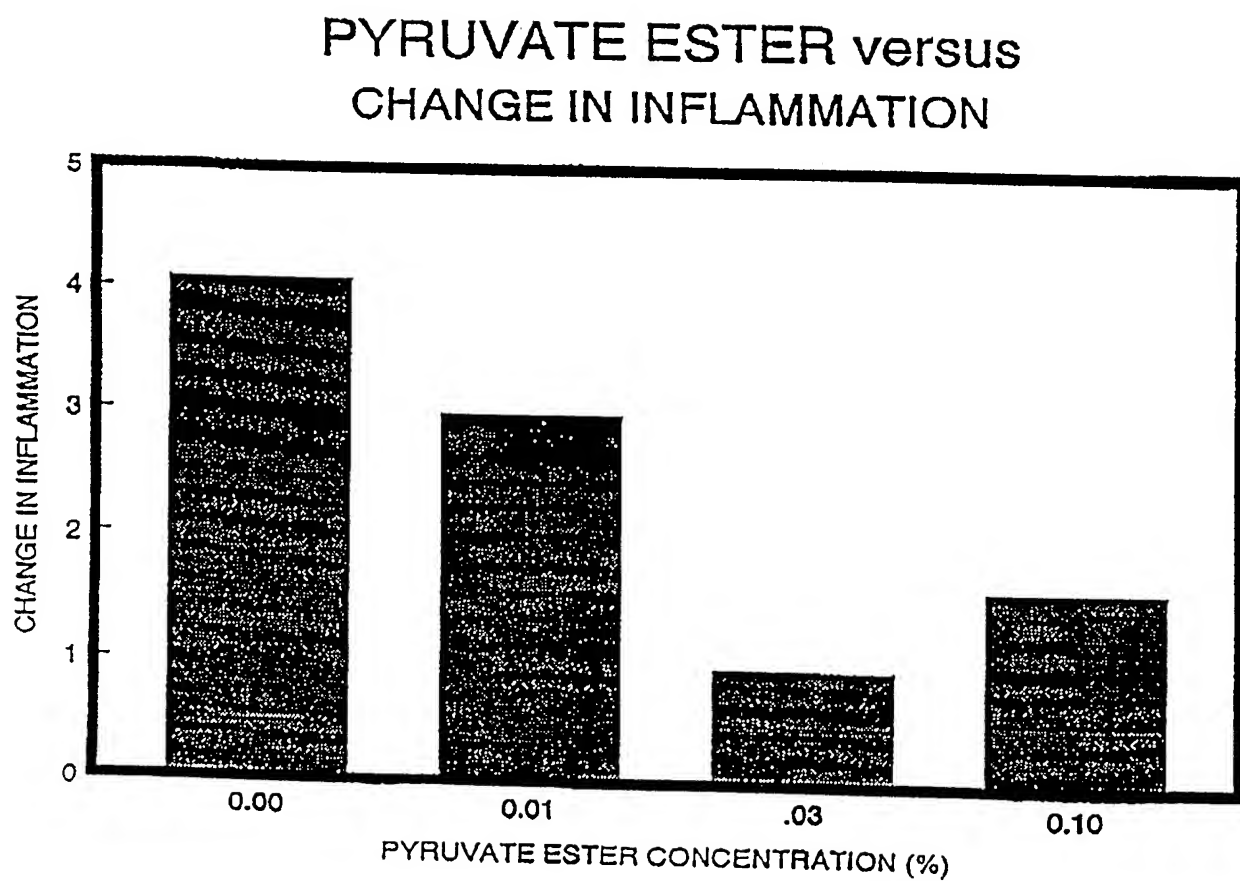
FIG. 4

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## Pyruvate Ester Blocks UV Inflammation

**FIG. 5**

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**FIG. 6**

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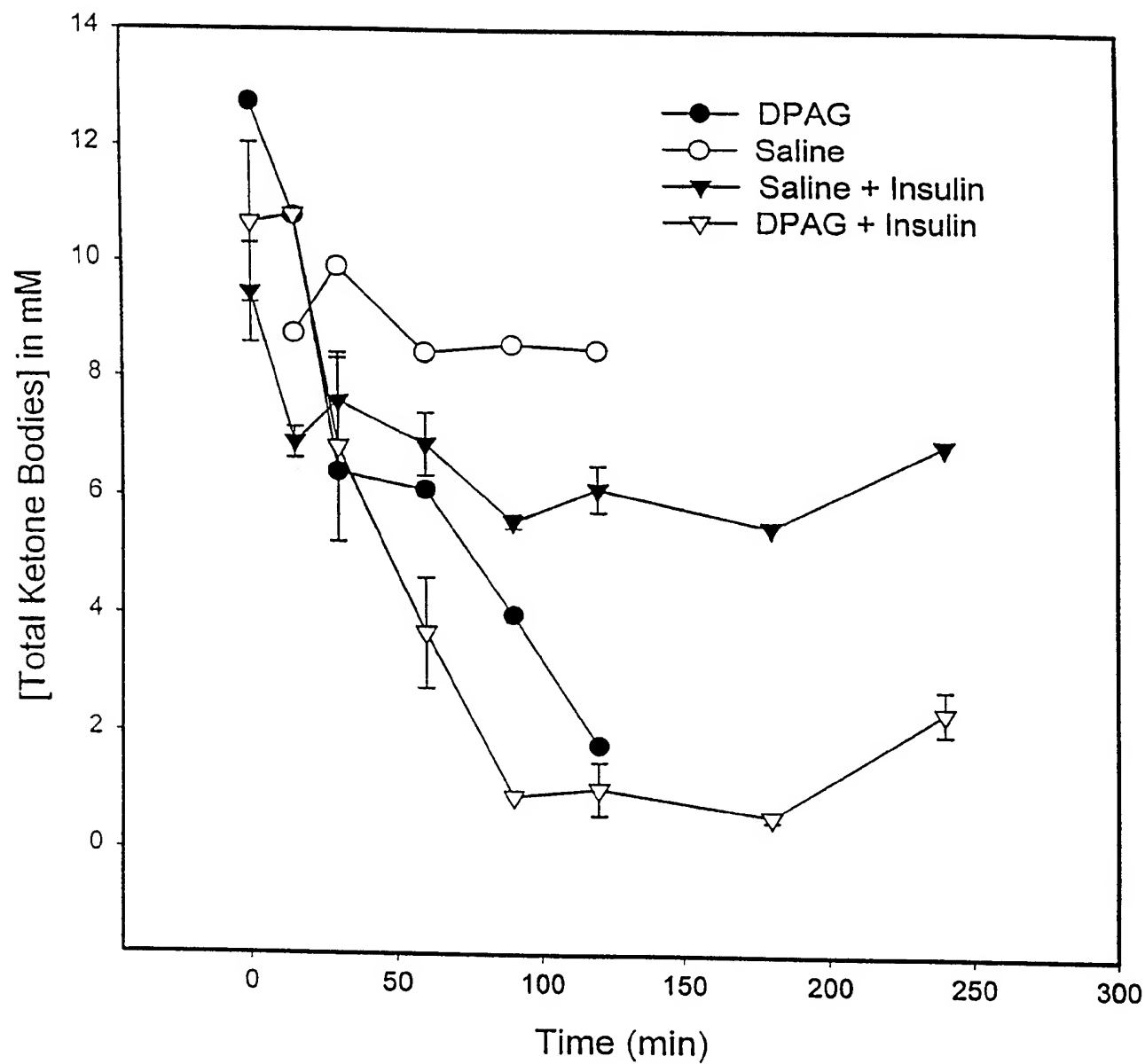


FIG. 7

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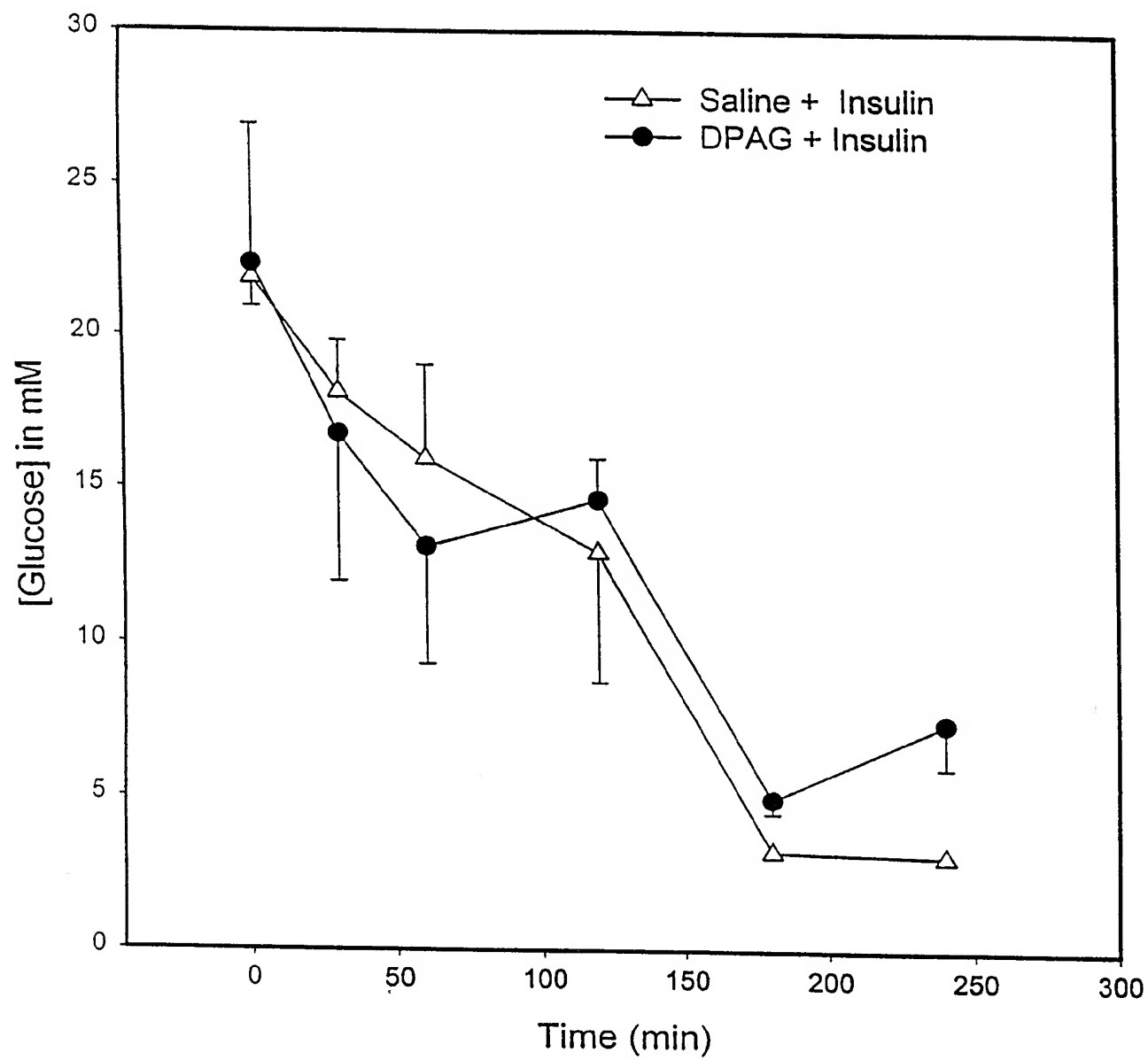


FIG. 8



## INTERNATIONAL SEARCH REPORT

International application No.

PCT/US98/09729

## A. CLASSIFICATION OF SUBJECT MATTER

IPC(6) :A61K 7/48; 31/22

US CL :514/25, 858, 866, 893

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

U.S. : 514/25, 858, 866, 893

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

WPIDS

USPATFULL

CAS ONLINE

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	US 5,580,902 A (YU ET AL.) 03 December 1996, column 2, line 16 through column 7, line 34.	1-34
Y	5,602,183 A (MARTIN ET AL.) 11 February 1997, col. 5, line 26 through col. 40, line 59.	1-34
Y	4,970,143 A (GUIDOUX et al) 13 November 1990, col. 1, line 46 through col. 5, line 14.	21-34
Y	5,614,561 A (MARTIN) 25 March 1997, col. 10, line 32 through col. 17, line 40.	21-34

☐

Further documents are listed in the continuation of Box C.

☐

See patent family annex.

* Special categories of cited documents:	*T* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
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Date of the actual completion of the international search

03 AUGUST 1998

Date of mailing of the international search report

03 SEP 1998

Name and mailing address of the ISA/US  
Commissioner of Patents and Trademarks  
Box PCT  
Washington, D.C. 20231

Facsimile No. (703) 305-3230

Authorized officer

JAMES O. WILSON

Telephone No. (703) 308-1235